

Project Budget Number 6 _____

Project Name: Workforce Framework

Project Budget Narrative

For this project, please provide an explanation of any discrepancies between the State's approved budget and expenditures for the reporting year.

The budget and expenditures for this project are on target for the 2013 calendar year.

Project Budget Explanation of Changes

For this project, please describe any substantive changes that you anticipate to the State RTT-ELC budget in the upcoming year.

We are in the process of reviewing the budgetary needs of each project, and may need to adjust budget lines to ensure continued progress.

RTT-ELC APR 2013 Budget

Actual Expenditures for Project 7 - Workforce Support					
Budget Categories	Grant Year 1 (a)	Grant Year 2 (b)	Grant Year 3 (c)	Grant Year 4 (d)	Total (e)
1. Personnel	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
2. Fringe Benefits	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
3. Travel	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
4. Equipment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
5. Supplies	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
6. Contractual	\$0.00	\$832,786.00	\$0.00	\$0.00	\$832,786.00
7. Training Stipends	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
8. Other	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
9. Total Direct Costs (add lines 1-8)	\$0.00	\$832,786.00	\$0.00	\$0.00	\$832,786.00
10. Indirect Costs*	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11. Funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs and other partners.	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12. Funds set aside for participation in grantee technical assistance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
13. Total Grant Funds Requested (add lines 9-12)	\$0.00	\$832,786.00	\$0.00	\$0.00	\$832,786.00
14. Funds from other sources used to support the State Plan	\$3,195,000.00	\$3,195,000.00	\$0.00	\$0.00	\$6,390,000.00
15. Total Statewide Budget (add lines 13-14)	\$3,195,000.00	\$4,027,786.00	\$0.00	\$0.00	\$7,222,786.00

Columns (a) through (d): For each grant year for which funding is requested, show the total amount requested for each applicable budget category.

Column (e): Show the total amount requested for all grant years.

Line 6: Show the amount of funds allocated through contracts with vendors for products to be acquired and/or professional services to be provided. A State may apply its indirect cost rate only against the first \$25,000 of each contract included in line 6.

Line 10: If the State plans to request reimbursement for indirect costs, complete the Indirect Cost Information form at the end of this Budget section. Note that indirect costs are not allocated to line 11.

Line 11: Show the amount of funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs, and other partners through MOUs, interagency agreements, contracts, or other mechanisms authorized by State procurement laws. States are not required to provide budgets for how the localities, Early Learning Intermediary Organizations, Participating Programs, and other partners will use these funds. However, the Departments expect that, as part of the administration and oversight of the grant, States will monitor and track all expenditures to ensure that localities, Early Learning Intermediary Organizations, Participating Programs, and other partners spend these funds in accordance with the State Plan.

Line 12: The State must set aside \$400,000 from its grant funds for the purpose of participating in RTT-ELC grantee technical assistance activities facilitated by ED or HHS. This is primarily to be used for travel and may be allocated to Participating State Agencies evenly across the four years of the grant.

Line 13: This is the total funding requested under this grant.

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Project Budget Number 7 _____

Project Name: Workforce Support

Project Budget Narrative

For this project, please provide an explanation of any discrepancies between the State's approved budget and expenditures for the reporting year.

The lower expenditures than budgeted for the Great Workforce Supports Project are reflective of several factors: (1) There is some lingering impact of a delayed start in some activities in 2012; (2) There is a lag in project contractor expenditures at the end of the grant reporting year because of Department of Human Services practice to award most contracts on a State Fiscal Year basis (July 1 - June 30). More specifically, the 2013 Calendar Year expenditures do not reflect State Fiscal Year second quarter expenditures. These will be reflected as expended in early 2014 by Department of Human Services with a subsequent request to the Department of Education for reimbursement.

Project Budget Explanation of Changes

For this project, please describe any substantive changes that you anticipate to the State RTT-ELC budget in the upcoming year.

Minnesota will request some modifications to this project budget's contractor line item, shifting some unexpended funding to Project 1 to support emerging needs for Parent Aware and carrying forward the remaining unexpended funding into the remaining grant years to ensure that planned activities will be fully executed.

RTT-ELC APR 2013 Budget

Actual Expenditures for Project 8 - K Entry Assessment					
Budget Categories	Grant Year 1 (a)	Grant Year 2 (b)	Grant Year 3 (c)	Grant Year 4 (d)	Total (e)
1. Personnel	\$0.00	\$12,871.00	\$0.00	\$0.00	\$12,871.00
2. Fringe Benefits	\$0.00	\$3,107.00	\$0.00	\$0.00	\$3,107.00
3. Travel	\$0.00	\$41.00	\$0.00	\$0.00	\$41.00
4. Equipment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
5. Supplies	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
6. Contractual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
7. Training Stipends	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
8. Other	\$0.00	\$1,333.00	\$0.00	\$0.00	\$1,333.00
9. Total Direct Costs (add lines 1-8)	\$0.00	\$17,352.00	\$0.00	\$0.00	\$17,352.00
10. Indirect Costs*	\$0.00	\$3,552.00	\$8,238.00	\$0.00	\$11,790.00
11. Funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs and other partners.	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12. Funds set aside for participation in grantee technical assistance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
13. Total Grant Funds Requested (add lines 9-12)	\$0.00	\$20,904.00	\$8,238.00	\$0.00	\$29,142.00
14. Funds from other sources used to support the State Plan	\$281,000.00	\$281,000.00	\$0.00	\$0.00	\$562,000.00
15. Total Statewide Budget (add lines 13-14)	\$281,000.00	\$301,904.00	\$8,238.00	\$0.00	\$591,142.00

Columns (a) through (d): For each grant year for which funding is requested, show the total amount requested for each applicable budget category.

Column (e): Show the total amount requested for all grant years.

Line 6: Show the amount of funds allocated through contracts with vendors for products to be acquired and/or professional services to be provided. A State may apply its indirect cost rate only against the first \$25,000 of each contract included in line 6.

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Line 12: The State must set aside \$400,000 from its grant funds for the purpose of participating in RTT-ELC grantee technical assistance activities facilitated by ED or HHS. This is primarily to be used for travel and may be allocated to Participating State Agencies evenly across the four years of the grant.

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Project Budget Number 8 _____

Project Name: Kindergarten Entrance Assessment

Project Budget Narrative

For this project, please provide an explanation of any discrepancies between the State's approved budget and expenditures for the reporting year.

The KEA 2.0 pilot plan was finalized in May of 2013. The pilot plan includes collecting KEA data in the fall of 2013 and the analysis to be performed in the spring of 2014. The contract expenditures line under budget due to the new timeline and will be incurring expenses in the first half of 2014 in alignment with the finalized pilot plan.

Project Budget Explanation of Changes

For this project, please describe any substantive changes that you anticipate to the State RTT-ELC budget in the upcoming year.

Minnesota will be requesting a budget amendment that aligns contract expenses with the new timelines for analysis in the spring of 2014 and 2015 instead of 2013 and 2014.

RTT-ELC APR 2013 Budget

Actual Expenditures for Project 9 - Early Learning Data System

Budget Categories	Grant Year 1 (a)	Grant Year 2 (b)	Grant Year 3 (c)	Grant Year 4 (d)	Total (e)
1. Personnel	\$66,346.00	\$298,583.00	\$0.00	\$0.00	\$364,929.00
2. Fringe Benefits	\$19,000.00	\$95,984.00	\$0.00	\$0.00	\$114,984.00
3. Travel	\$142.00	\$1,354.00	\$0.00	\$0.00	\$1,496.00
4. Equipment	\$414.00	\$0.00	\$0.00	\$0.00	\$414.00
5. Supplies	\$0.00	\$1,559.00	\$0.00	\$0.00	\$1,559.00
6. Contractual	\$0.00	\$211,205.00	\$0.00	\$0.00	\$211,205.00
7. Training Stipends	\$0.00	\$159.00	\$0.00	\$0.00	\$159.00
8. Other	\$3,559.00	\$17,098.00	\$0.00	\$0.00	\$20,657.00
9. Total Direct Costs (add lines 1-8)	\$89,461.00	\$625,942.00	\$0.00	\$0.00	\$715,403.00
10. Indirect Costs*	\$17,680.00	\$96,727.00	\$0.00	\$0.00	\$114,407.00
11. Funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs and other partners.	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12. Funds set aside for participation in grantee technical assistance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
13. Total Grant Funds Requested (add lines 9-12)	\$107,141.00	\$722,669.00	\$0.00	\$0.00	\$829,810.00
14. Funds from other sources used to support the State Plan	\$100,000.00	\$150,000.00	\$0.00	\$0.00	\$250,000.00
15. Total Statewide Budget (add lines 13-14)	\$207,141.00	\$872,669.00	\$0.00	\$0.00	\$1,079,810.00

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Project Budget Number 9

Project Name: Early Childhood Longitudinal Data System

Project Budget Narrative

For this project, please provide an explanation of any discrepancies between the State's approved budget and expenditures for the reporting year.

The contract expenditures line is lower than budget due to two reasons. One is due to Minnesota's ability to utilize the architecture of the system built for the Statewide Longitudinal Education Data System. The advantage of using the SLEDS architecture has reduce upfront resources, but it is expected that the third and fourth years of the RTT-ELC grant will realize expenditures at a greater pace than recent months. Additionally, Minnesota a competitive grant process was pursued during 2013 to assist school districts in reporting data to the state. Those grants have now been awarded and expenditures will be realized in the next year.

Project Budget Explanation of Changes

For this project, please describe any substantive changes that you anticipate to the State RTT-ELC budget in the upcoming year.

There will be increased pace for expenditures in the contract line in 2014 to reflect the increased workload for adding new data sets and the requirement gathering of an analytics portal.

Additionally, Minnesota is in the process of consolidating all IT resources, so there may be other changes in the budget to reflect new budgeting structure for all IT costs.

RTT-ELC APR 2013 Budget

Actual Expenditures for Project 10 - FFN					
Budget Categories	Grant Year 1 (a)	Grant Year 2 (b)	Grant Year 3 (c)	Grant Year 4 (d)	Total (e)
1. Personnel	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
2. Fringe Benefits	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
3. Travel	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
4. Equipment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
5. Supplies	\$0.00	\$1,035.00	\$0.00	\$0.00	\$1,035.00
6. Contractual	\$0.00	\$1,500.00	\$0.00	\$0.00	\$1,500.00
7. Training Stipends	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
8. Other	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
9. Total Direct Costs (add lines 1-8)	\$0.00	\$2,535.00	\$0.00	\$0.00	\$2,535.00
10. Indirect Costs*	\$0.00	\$558.00	\$0.00	\$0.00	\$558.00
11. Funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs and other partners.	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12. Funds set aside for participation in grantee technical assistance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
13. Total Grant Funds Requested (add lines 9-12)	\$0.00	\$3,093.00	\$0.00	\$0.00	\$3,093.00
14. Funds from other sources used to support the State Plan	\$49,000.00	\$49,000.00	\$0.00	\$0.00	\$98,000.00
15. Total Statewide Budget (add lines 13-14)	\$49,000.00	\$52,093.00	\$0.00	\$0.00	\$101,093.00

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Project Budget Number 10 _____

Project Name: Family Friend and Neighbor _____

Project Budget Narrative

For this project, please provide an explanation of any discrepancies between the State's approved budget and expenditures for the reporting year.

The overall timeline to complete the work is being extended from a 12 month time period to a 32 month period in response to community feedback and alignment with other RTT-ELC activities, so expenditures are slower than originally anticipated. Grants have been encumbered and work is being performed.

Project Budget Explanation of Changes

For this project, please describe any substantive changes that you anticipate to the State RTT-ELC budget in the upcoming year.

Further environmental scans have determined existing resources that can be leveraged for this project, and we are currently determining how best to align resources in order to maximize the use of the funds.

We are in the process of reviewing the budgetary needs of each project, and may re-allocate funds to align with RTT-ELC performance measure and priorities.

Actual Expenditures for Project 11 - Public Private Partnerships					
Budget Categories	Grant Year 1 (a)	Grant Year 2 (b)	Grant Year 3 (c)	Grant Year 4 (d)	Total (e)
1. Personnel	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
2. Fringe Benefits	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
3. Travel	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
4. Equipment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
5. Supplies	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
6. Contractual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
7. Training Stipends	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
8. Other	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
9. Total Direct Costs (add lines 1-8)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
10. Indirect Costs*	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11. Funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs and other partners.	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12. Funds set aside for participation in grantee technical assistance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
13. Total Grant Funds Requested (add lines 9-12)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
14. Funds from other sources used to support the State Plan	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
15. Total Statewide Budget (add lines 13-14)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

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