

**Project Budget Number 1** \_\_\_\_\_

**Project Name: Parent Aware**

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**Project Budget Narrative**

For this project, please provide an explanation of any discrepancies between the State's approved budget and expenditures for the reporting year.

The lower expenditures than budgeted for the Parent Aware Project are reflective of several factors: (1) There is some lingering impact of a delayed start in some activities in 2012; (2) There is a lag in project contractor expenditures at the end of the grant reporting year because of Department of Human Services practice to award most contracts on a State Fiscal Year basis (July 1 - June 30). More specifically, the 2013 Calendar Year expenditures do not reflect State Fiscal Year second quarter expenditures. These will be reflected as expended in early 2014 by Department of Human Services with a subsequent request to the Department of Education for reimbursement. (3) Department of Human Services was able to cover some expenditures with funds from the Child Care Development Funds, rather than Race to the Top-Early Learning Challenge grant funds in 2013; (4) Lower than anticipated participation of child care programs in Parent Aware resulted underspending of contractor funds for quality improvement supports and for on-site observations.

**Project Budget Explanation of Changes**

For this project, please describe any substantive changes that you anticipate to the State RTT-ELC budget in the upcoming year.

Due to a better understanding of the needs for administering Parent Aware across activities to promote and monitor ratings, Minnesota will request some modifications to this project budget's personnel and contractor line items.

RTT-ELC APR 2013 Budget

Actual Expenditures for Project 2 - SR Scholarships					
Budget Categories	Grant Year 1 (a)	Grant Year 2 (b)	Grant Year 3 (c)	Grant Year 4 (d)	Total (e)
1. Personnel	\$31,594.00	\$57,415.00	\$0.00	\$0.00	\$89,009.00
2. Fringe Benefits	\$7,166.00	\$17,940.00	\$0.00	\$0.00	\$25,106.00
3. Travel	\$339.00	\$689.00	\$0.00	\$0.00	\$1,028.00
4. Equipment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
5. Supplies	\$0.00	\$791.00	\$0.00	\$0.00	\$791.00
6. Contractual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
7. Training Stipends	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
8. Other	\$2,903.00	\$7,031.00	\$0.00	\$0.00	\$9,934.00
9. Total Direct Costs (add lines 1-8)	\$42,002.00	\$83,866.00	\$0.00	\$0.00	\$125,868.00
10. Indirect Costs*	\$8,193.00	\$17,990.00	\$0.00	\$0.00	\$26,183.00
11. Funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs and other partners.	\$269,669.00	\$2,752,053.00	\$0.00	\$0.00	\$3,021,722.00
12. Funds set aside for participation in grantee technical assistance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
13. Total Grant Funds Requested (add lines 9-12)	\$319,864.00	\$2,853,909.00	\$0.00	\$0.00	\$3,173,773.00
14. Funds from other sources used to support the State Plan	\$470,000.00	\$470,000.00	\$0.00	\$0.00	\$940,000.00
15. Total Statewide Budget (add lines 13-14)	\$789,864.00	\$3,323,909.00	\$0.00	\$0.00	\$4,113,773.00

Columns (a) through (d): For each grant year for which funding is requested, show the total amount requested for each applicable budget category.

Column (e): Show the total amount requested for all grant years.

Line 6: Show the amount of funds allocated through contracts with vendors for products to be acquired and/or professional services to be provided. A State may apply its indirect cost rate only against the first \$25,000 of each contract included in line 6.

Line 10: If the State plans to request reimbursement for indirect costs, complete the Indirect Cost Information form at the end of this Budget section. Note that indirect costs are not allocated to line 11.

Line 11: Show the amount of funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs, and other partners through MOUs, interagency agreements, contracts, or other mechanisms authorized by State procurement laws. States are not required to provide budgets for how the localities, Early Learning Intermediary Organizations, Participating Programs, and other partners will use these funds. However, the Departments expect that, as part of the administration and oversight of the grant, States will monitor and track all expenditures to ensure that localities, Early Learning Intermediary Organizations, Participating Programs, and other partners spend these funds in accordance with the State Plan.

Line 12: The State must set aside \$400,000 from its grant funds for the purpose of participating in RTT-ELC grantee technical assistance activities facilitated by ED or HHS. This is primarily to be used for travel and may be allocated to Participating State Agencies evenly across the four years of the grant.

Line 13: This is the total funding requested under this grant.

Line 14: Show total funding from other sources (including Federal, State, private, or local) being used to support the State Plan and describe these funding sources in the budget narrative.

**Project Budget Number 2** \_\_\_\_\_

**Project Name: Early Learning Scholarships**

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**Project Budget Narrative**

For this project, please provide an explanation of any discrepancies between the State's approved budget and expenditures for the reporting year.

The Early Learning Scholarships expenditures reflects the State's approved budget closely. The slightly lower expenditures in the Early Learning Scholarship grants line is a lingering effect of the delayed start of the Early Learning Scholarships in 2012. Additionally, the 2013 Calendar Year expenditures do not reflect State Fiscal Year second quarter expenditures. The grantees administering the Early Learning Scholarships have reported to the state that the funds have been fully committed to families through the December 30, 2015.

**Project Budget Explanation of Changes**

For this project, please describe any substantive changes that you anticipate to the State RTT-ELC budget in the upcoming year.

Due to a better understanding of the timing of the Early Learning Scholarship expenditures, Minnesota will request that the Early Learning Scholarship grant funds be more evenly spread out across the remaining two years of the grant period.

RTT-ELC APR 2013 Budget

Actual Expenditures for Project 3 - Title 1 Prek					
Budget Categories	Grant Year 1 (a)	Grant Year 2 (b)	Grant Year 3 (c)	Grant Year 4 (d)	Total (e)
1. Personnel	\$9,342.00	\$60,260.00	\$0.00	\$0.00	\$69,602.00
2. Fringe Benefits	\$1,780.00	\$12,439.00	\$0.00	\$0.00	\$14,219.00
3. Travel	\$688.00	\$1,758.00	\$0.00	\$0.00	\$2,446.00
4. Equipment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
5. Supplies	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
6. Contractual	\$0.00	\$181,931.00	\$0.00	\$0.00	\$181,931.00
7. Training Stipends	\$0.00	\$1,200.00	\$0.00	\$0.00	\$1,200.00
8. Other	\$527.00	\$5,115.00	\$0.00	\$0.00	\$5,642.00
9. Total Direct Costs (add lines 1-8)	\$12,337.00	\$262,703.00	\$0.00	\$0.00	\$275,040.00
10. Indirect Costs*	\$2,134.00	\$27,594.00	\$0.00	\$0.00	\$29,728.00
11. Funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs and other partners.	\$48,094.00	\$2,162,316.00	\$0.00	\$0.00	\$2,210,410.00
12. Funds set aside for participation in grantee technical assistance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
13. Total Grant Funds Requested (add lines 9-12)	\$62,565.00	\$2,452,613.00	\$0.00	\$0.00	\$2,515,178.00
14. Funds from other sources used to support the State Plan	\$48,094.00	\$2,162,316.00	\$0.00	\$0.00	\$2,210,410.00
15. Total Statewide Budget (add lines 13-14)	\$110,659.00	\$4,614,929.00	\$0.00	\$0.00	\$4,725,588.00

Columns (a) through (d): For each grant year for which funding is requested, show the total amount requested for each applicable budget category.

Column (e): Show the total amount requested for all grant years.

Line 6: Show the amount of funds allocated through contracts with vendors for products to be acquired and/or professional services to be provided. A State may apply its indirect cost rate only against the first \$25,000 of each contract included in line 6.

Line 10: If the State plans to request reimbursement for indirect costs, complete the Indirect Cost Information form at the end of this Budget section. Note that indirect costs are not allocated to line 11.

Line 11: Show the amount of funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs, and other partners through MOUs, interagency agreements, contracts, or other mechanisms authorized by State procurement laws. States are not required to provide budgets for how the localities, Early Learning Intermediary Organizations, Participating Programs, and other partners will use these funds. However, the Departments expect that, as part of the administration and oversight of the grant, States will monitor and track all expenditures to ensure that localities, Early Learning Intermediary Organizations, Participating Programs, and other partners spend these funds in accordance with the State Plan.

Line 12: The State must set aside \$400,000 from its grant funds for the purpose of participating in RTT-ELC grantee technical assistance activities facilitated by ED or HHS. This is primarily to be used for travel and may be allocated to Participating State Agencies evenly across the four years of the grant.

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**Project Budget Number 3** \_\_\_\_\_

**Project Name: Title I PreK Incentives**

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**Project Budget Narrative**

For this project, please provide an explanation of any discrepancies between the State's approved budget and expenditures for the reporting year.

The grants expenditures for the Title I PreK Incentives project is slightly lower than budgeted due to the lingering effects of the slow start-up in year 1 and the delay in payment requests from grantees in the second quarter of the state fiscal year. Contract expenditures are also delayed due to the finalized evaluation plan that includes higher cost data collection activities in the spring and fall of 2014 instead of 2013.

**Project Budget Explanation of Changes**

For this project, please describe any substantive changes that you anticipate to the State RTT-ELC budget in the upcoming year.

Minnesota anticipates a budget change request to reflect the reality of new evaluation timelines and payment requests from grantees.

RTT-ELC APR 2013 Budget

Actual Expenditures for Project 4 - Standards					
Budget Categories	Grant Year 1 (a)	Grant Year 2 (b)	Grant Year 3 (c)	Grant Year 4 (d)	Total (e)
1. Personnel	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
2. Fringe Benefits	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
3. Travel	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
4. Equipment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
5. Supplies	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
6. Contractual	\$0.00	\$12,680.00	\$0.00	\$0.00	\$12,680.00
7. Training Stipends	\$1,000.00	\$0.00	\$0.00	\$0.00	\$1,000.00
8. Other	\$0.00	\$41.00	\$0.00	\$0.00	\$41.00
9. Total Direct Costs (add lines 1-8)	\$1,000.00	\$12,721.00	\$0.00	\$0.00	\$13,721.00
10. Indirect Costs*	\$208.00	\$2,538.00	\$0.00	\$0.00	\$2,746.00
11. Funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs and other partners.	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12. Funds set aside for participation in grantee technical assistance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
13. Total Grant Funds Requested (add lines 9-12)	\$1,208.00	\$15,259.00	\$0.00	\$0.00	\$16,467.00
14. Funds from other sources used to support the State Plan	\$374,630.00	\$0.00	\$0.00	\$0.00	\$374,630.00
15. Total Statewide Budget (add lines 13-14)	\$375,838.00	\$15,259.00	\$0.00	\$0.00	\$391,097.00

Columns (a) through (d): For each grant year for which funding is requested, show the total amount requested for each applicable budget category.

Column (e): Show the total amount requested for all grant years.

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Line 10: If the State plans to request reimbursement for indirect costs, complete the Indirect Cost Information form at the end of this Budget section. Note that indirect costs are not allocated to line 11.

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Line 12: The State must set aside \$400,000 from its grant funds for the purpose of participating in RTT-ELC grantee technical assistance activities facilitated by ED or HHS. This is primarily to be used for travel and may be allocated to Participating State Agencies evenly across the four years of the grant.

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**Project Budget Number 4**

**Project Name: Early Learning Standards**

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**Project Budget Narrative**

For this project, please provide an explanation of any discrepancies between the State's approved budget and expenditures for the reporting year.

There is a lag in contract expenditures due to a revised timeline for the work that delayed the public input process to early 2014.

**Project Budget Explanation of Changes**

For this project, please describe any substantive changes that you anticipate to the State RTT-ELC budget in the upcoming year.

Minnesota anticipates a budget change request to reflect this new timeline.

RTT-ELC APR 2013 Budget

Actual Expenditures for Project 5 - Comp Assessment Sys					
Budget Categories	Grant Year 1 (a)	Grant Year 2 (b)	Grant Year 3 (c)	Grant Year 4 (d)	Total (e)
1. Personnel	\$16,327.00	\$74,565.00	\$0.00	\$0.00	\$90,892.00
2. Fringe Benefits	\$3,858.00	\$21,555.00	\$0.00	\$0.00	\$25,413.00
3. Travel	\$0.00	\$1,170.00	\$0.00	\$0.00	\$1,170.00
4. Equipment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
5. Supplies	\$43.00	\$0.00	\$0.00	\$0.00	\$43.00
6. Contractual	\$0.00	\$61,506.00	\$0.00	\$0.00	\$61,506.00
7. Training Stipends	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
8. Other	\$551.00	\$8,223.00	\$0.00	\$0.00	\$8,774.00
9. Total Direct Costs (add lines 1-8)	\$20,779.00	\$167,019.00	\$0.00	\$0.00	\$187,798.00
10. Indirect Costs*	\$3,453.00	\$32,801.00	\$0.00	\$0.00	\$36,254.00
11. Funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs and other partners.	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12. Funds set aside for participation in grantee technical assistance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
13. Total Grant Funds Requested (add lines 9-12)	\$24,232.00	\$199,820.00	\$0.00	\$0.00	\$224,052.00
14. Funds from other sources used to support the State Plan	\$4,728,206.00	\$4,641,846.00	\$0.00	\$0.00	\$9,370,052.00
15. Total Statewide Budget (add lines 13-14)	\$4,752,438.00	\$4,841,666.00	\$0.00	\$0.00	\$9,594,104.00

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**Project Budget Number 5** \_\_\_\_\_

**Project Name: Comprehensive Assessment System**

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**Project Budget Narrative**

For this project, please provide an explanation of any discrepancies between the State's approved budget and expenditures for the reporting year.

The contract expenditures for the Comprehensive Assessment System are lower than budgeted for two reasons: 1) the Comprehensive Assessment Workgroup has not made final recommendations for the system; and 2) the Online Screening project will be finalizing contracts in early 2014.

**Project Budget Explanation of Changes**

For this project, please describe any substantive changes that you anticipate to the State RTT-ELC budget in the upcoming year.

Minnesota anticipates a budget change request to reflect this new timelines and the final recommendations from the Comprehensive Assessment Workgroup.

RTT-ELC APR 2013 Budget

Actual Expenditures for Project 6 - Workforce Framework

Budget Categories	Grant Year 1 (a)	Grant Year 2 (b)	Grant Year 3 (c)	Grant Year 4 (d)	Total (e)
1. Personnel	\$0.00	\$22,402.00	\$0.00	\$0.00	\$22,402.00
2. Fringe Benefits	\$0.00	\$4,741.00	\$0.00	\$0.00	\$4,741.00
3. Travel	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
4. Equipment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
5. Supplies	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
6. Contractual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
7. Training Stipends	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
8. Other	\$0.00	\$1,598.00	\$0.00	\$0.00	\$1,598.00
9. Total Direct Costs (add lines 1-8)	\$0.00	\$28,741.00	\$0.00	\$0.00	\$28,741.00
10. Indirect Costs*	\$0.00	\$5,979.00	\$0.00	\$0.00	\$5,979.00
11. Funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs and other partners.	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12. Funds set aside for participation in grantee technical assistance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
13. Total Grant Funds Requested (add lines 9-12)	\$0.00	\$34,720.00	\$0.00	\$0.00	\$34,720.00
14. Funds from other sources used to support the State Plan	\$50,000.00	\$50,000.00	\$0.00	\$0.00	\$100,000.00
15. Total Statewide Budget (add lines 13-14)	\$50,000.00	\$84,720.00	\$0.00	\$0.00	\$134,720.00

Columns (a) through (d): For each grant year for which funding is requested, show the total amount requested for each applicable budget category.

Column (e): Show the total amount requested for all grant years.

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